

For Admin Use: DD/MM/YYYY					
Log		Version			
Ref:	Number:				

Faculty of Arts, Design and Humanities Ethics Application Form TAUGHT STUDENT (BA, BsC, MA, MsC)

Please complete section A and B in the first instance

If any ethical issues are identified in section B, please continue to complete the rest of the form

Only electronic versions of the forms must be completed/submitted (word documents only)

Forms must be checked and signed by your Tutor in the first instance

For resubmissions please ensure that you track all changes

All notes highlighted in orange indicate where further action may be required by you (the applicant) or additional information may be suggested to assist you with the completion of this form

ADH Ethics Overview Guide, sample application forms, supporting document templates and submission deadline dates and links to university guidance can be located at the <u>ADH Ethics website</u>

If your research involves using 1) human tissue or fluid samples or 2) animals, you MUST seek guidance from the ADH Head of Research Ethics <u>before</u> planning the project.

Section A: Researcher Details

This section is a mandatory requirement and MUST be completed by ALL applicants
Once you have completed this section please complete Section B

1. Researcher Details								
First name:	Add	your first nam	ne	Surname: Add your surname (last name)			ast name)	
	Ц							
DMU e-mail addre	SS:	Add your DM	<mark>U e-mail address onl</mark>	ly. All communic	ation r	<mark>egarding your a</mark> p	oplication will be	
		submitted to	<mark>your DMU e-mail add</mark>	<mark>lress</mark>				
School (please in	ıdica	te with a tick	√):					
Humanities and		<u> </u>	Art, Design and			Fashion		
Performing Arts			Architecture					
Study Level (plea	ıse in	idicate with a	tick ✓):					
Undergraduate (B	A, Bs	C)	✓	Taught Postgra	aduate	(MA, MsC)		
If you are an acad	demi	c member of	staff, PhD or MPhil	student please	<mark>do no</mark>	t use this form.	Please complete	
the PGR and Res	earc	h Staff Ethics	Application form a	vailable on the	ADH E	Ethics website.		
For Student Subm	issior	ns Only:						
Module Title:		Add module title						
Module Tutor/		Add tutor/sup	ervisor name					
Supervisor name:								

Section B: Disclaimer

This section is a mandatory requirement. ALL fields MUST be completed by ALL applicants to ascertain if ethics approval is required

2. Activity Declaration	YES	NO			
(Tick ✓ as applicable all	<mark>v)</mark>				
Does the research involve	human or animal participants, human data	* (including	√		
observational study)?			<u>*</u>		
Does the research presen	t an indirect or physical risk to participants (h	uman or anin	nal)?		
Does the research involve	any activities which may pose a risk to the e	nvironment?	✓		
Does the research involve	observing, interacting or otherwise with child	dren (under th	e age of		
18) or with adults at risk	**?		<u>*</u>		
Does the research raise e	thical issues due to the potential social or en	vironmental	√		
implications of the study?			<u>*</u>		
Does the research re-use	previously collected or archived personal dat	a which is se	nsitive in		
nature, or enables the idea	<u>•</u>				
Does the research involve	topics concerned with the following 'sensitiv	e research' ai	reas:		
illegal activities, including	ss to web				
sites normally prohibited o	ography				
etc.					
Tutor/Supervisor use on	lv ***				
		Deter			
Tutor/Supervisor Name:	Write name here (To be completed by	Date:	DD/MM/YYYY		
	Tutor/Supervisor/Line Manager only)				

PLEASE NOTE:

If the applicant answers YES to one or more questions in section 2

It is recognised that certain ethical issues have been identified which, depending on the level of risk may require formal assessment by Faculty Research Ethics Committee (FREC) or Design Research Ethics Sub-Committee (DRESC) before undertaking any data collection associated with the proposed research activity. To obtain approval the applicant MUST complete all fields in Section C – H of this application form. Fully completed ethics forms MUST be submitted for checking, authorisation and risk assessment by the applicant's tutor in the first instance, who MUST complete section 13 of this form.

*** If the applicant answers NO to all questions in section 2

It is identified that there are NO ethical issues and the proposed study does not require formal FREC assessment.

To confirm that there are no ethical risks identified the applicant must complete this section of the form and forward onto their tutor for authorisation. For data recording purposes this application MUST be submitted to the following e-mail address: ADHethics@dmu.ac.uk by the tutor (copying in the applicant) from a DMU e-mail account

* **Human data** may be defined as non-numerical information (e.g. opinions, preferences, responses, ideas) collected from human beings through structured or unstructured methods (e.g. interviews, surveys, questionnaires or focus groups)

**Adult at risk is defined by the Department of Health as 'a person aged 18 years or over who is or may be in need of community care services by reason of mental or other disability, age or illness; and who is or may be unable to take care of him or herself, or unable to protect him or herself against significant harm or exploitation', Department of Health paper, 'No Secrets' https://www.gov.uk/government/publications/safeguarding-policy-protecting-vulnerable-adults/sd8-opgs-

Section C: Project Proposal

This section MUST be completed by the applicant if they marked YES to one or more questions in section B Once you have completed this section please complete the rest of this form.

3. Project Details							
Project Title:	Add project title – make it short sharp and clear. You can add abbreviations but ensure that						
	you list the full title as well.						
Project Start date:	DD/MM/YYYY Expected End Date DD/MM/YYYY						
Is this the first time yo	Is this the first time you have requested ethical approval for this No						
study/project? Please indicate with a 'Yes' or 'No'							
If you answered "no" to the above, please submit your previously approved Ethics Application to							
accompany this sub	mission						

Project Outline:

Give a brief overview - Recommended: list bullet points. For examples see sample application form

Subject area and background of the study:

- What is the study about? Give a brief overview
- What is the reason for this study? (For example: is it for a thesis, dissertation or research project? or describe the study's value to your project)
- Will the project being funded by a third party and who is funding the study? A simple sentence to say "This research is not being funded" will be sufficient if this does not apply.

Purpose, aims and objectives of the research:

- What will the study lead to? Consider possible outcomes or benefits for yourself and the participant
- How will the research assist you with completing your dissertation or study?
- How will you be recruiting participants? What methods will you use?
- Who will you be participating in this study? What is their age or background and why have they been chosen? Are they an individual or are they part of a company or organisation?
- How will you be securing the data collected? This should be either a password protected file or encrypted device
- Where will the research take place? Will the research take place overseas? Likewise if you are not doing research overseas, just provide a sentence to that effect "I will not be conducting research overseas"

Brief description of research procedures (methods, tests etc):

- What methods or tests will you be using? For example: Interviews, questionnaires, online surveys, observational study, focus group. Ensure that it matches what you have stated below.
- How will the study be recorded? For example: Video recorded, audio recorded, photographed etc.
- How will you be storing the data collected? For example on a password protected device on DMU campus
- Will you inform the participant of the study? Request consent? Will you be providing participants with a Participant Information Sheet/Participant Consent Form or Parent/Guardian Consent form?

Section D: Ethical Issues Checklist

This section MUST be completed by the applicant if they marked YES to one or more questions in section B Once you have completed this section please complete the rest of this form.

For sample forms, document templates and additional information relating to any of the supporting documents listed below please refer to faculty templates and guidance located at the <u>ADH Ethics website</u>

4.1 Principal da	ata collection methods	SUPPORTING		
Please tick ✓ as applicable for all of the relevant methods and refer to notes highlighted to the right		YES	NO	DOCUMENT REQUIRED If you mark YES to any of the
Interviews		✓		data collection methods within
Questionnaires		√		question 4.1 please provide copies of the Participant
Audio/video reco	ordings	\checkmark		Information Sheet and
Online surveys	Online surveys			Participant Consent Form
Observations	Observations			(using the templates
Focus groups/w	orkshops	✓		available on the ADH Ethics
Documents/arch	nives	√		website) for assessment and submit with your application.
Other (please briefly specify)	If none of the methods above relate to your proposed study then please specify the methods you will be using in this text box. Keep this statement brief and concise.	√		Please note that questionnaires and question lists are not required for FREC/DRESC assessment but must be referred to their Tutor

4.2 Anonymisation of data	4.2 Anonymisation of data					
Please tick ✓ as applicable for	or the following question	YES	NO			
Will you be anonymising the da	ata collected from participants?	√				
If you mark YES please briefly state how and why you will be anonymising that data. For examples see sample application form	How: Consider anonymising the participant by identifying them with ID number, e.g. "Participant A". (Do not use their initials as this may why: For example: to maintain confidentiality, you may be using do the participant. Keep this statement brief and concise.	y identify	them)			

4.3 Worki	ing with children/ adults at risk			
Will you b	e observing, interacting or otherwise with the following p	SUPPORTING		
Please tic	ck ✓ as applicable all of the following questions	YES	NO	DOCUMENT
and refer	to notes highlighted to the right			REQUIRED
Children (under the age of 18)	√		If you mark YES within
Adults wit	Adults without capacity to consent			question 4.3 please provide
Those wit	Those with learning disabilities			copies of the copies of the
Adults at	Adults at risk			Parent/Guardian Consent Form (using the
Other (please briefly specify)	If none of the participants listed above relate to your proposed study then please specify in this text box. Keep this statement brief and concise.			templates available on ADH Ethics website) for assessment and submit with your application

4.4 Injury risk declaration								
Please tick ✓ as applicable for the	YES	NO	SUPPORTING DOCUMENT REQUIRED					
following question and refer to notes			If you mark YES to question 4.4 please provide a					
highlighted to the right			DMU Risk Assessment Form and submit with					
Will you be researching into activities that	✓		your application - Please liaise with your tutor and					
may involve a risk of personal injury to the			consult with your Faculty Health and Safety					
participants?			Officer for this form					

Please tick ✓ as applicable for the following question					
•	porting innovation that might impact on human behaviour? Ind/or Activities which may pose a physical risk to other people not research	✓			
If YES please briefly specify. For examples see sample application form	Will you be conducting a study where you will be physically involved wit if you will be undertaking an activity that may involve harm or risk, physotherwise? Keep this statement brief and concise.		ants or		

4.6 Environmental risk declaration						
	Please tick ✓ as applicable for the following			SUPPORTING DOCUMENT		
question and refer to	notes highlighted to the right			REQUIRED		
Will your research invo	lve any activities which may pose	√		If you mark YES to question 4.6		
a risk to the environme	nt using a potentially hazardous			please provide a DMU COSHH		
substance?				Form and submit with your		
If YES please briefly	Describe exactly what will be used	d, how and	why.	application - Please liaise with your		
specify. For	Keep this statement brief and con-	<mark>cise.</mark>		tutor and consult with your Faculty		
examples see				Health and Safety Officer for this		
sample application				form and the second sec		
form						

Please tick ✓ as applicable for the following question YES NO					
	· · · · · · · · · · · · · · · · · · ·	IES	NO		
Will you be research	hing topics that are concerned with the following 'sensitive research' areas:				
illegal activities, inc	luding the collection of source data, e.g. access to web sites normally	_			
prohibited on unive	rsity servers, or extremism and radicalisation, pornography etc.?				
If YES please	Using the examples above give an overview of the topic and concern, highlig	hting to the	e		
briefly specify.	reviewer exactly which sensitive research area you will be studying and why				
For examples see	Keep this statement brief and concise				
sample					
application form					
application form					

Section E: How Ethical Issues Will Be Addressed

This section MUST be completed by the applicant if they marked YES to one or more questions in section B Once you have completed this section please complete the rest of this form.

5. Ethical Issues – Please state briefly how all ethical issues (identified in Section D: Ethical Issues Checklist) will be addressed, describing the research methods that you will use IMPORTANT NOTICE: Please refer to the ADH Sample Application form for possible examples available on the ADH Ethics website

(Recommended: list bullet points)

Please refer to the university's Ethics and Governance support advice <u>here</u> Consider the following points:

- Your study may require you to complete the following faculty approved templates a) Participant Information Sheet and b) relevant Consent Form.
 - If this applies you must state here that you will a) provide the participant/organisations with full details of the study in non-specialist language, using the faculty approved Information Sheet (this will allow participant to make an informed decision whether to take part or not) and b) confirm in writing if the participant: is willing to take part; agrees to their data to be used; acknowledges that they have read and understood the proposed study by completing a consent form. (If you are obtaining data or otherwise working with participants under the age of 18 or with adults at risk, written consent must be obtained from a parent/guardian using the Parent/Guardian Consent Form). Example text "I will be interviewing participants aged 15 17. Therefore I will provide them with a Participant Information Sheet and obtain written consent from a Parent/Guardian using the faculty approved Parent/Guardian Consent Form (see document attached to this application)"
- You must state in this section that (where applicable) participants will be informed that participation is voluntary and granted the right and freedom to withdraw from the study.
- All human data must be stored securely on DMU campus on either a password protected or encrypted
 devise. Be specific about how and where you will be storing the data collected and who will have access to
 it. (If you are a student you tutor/supervisor will apply, if you are a member of staff this will be your Line
 Manager). Storage methods to consider: encrypted data stick/locked filing cabinet/password protected
 devise such as laptop or DMU computer etc.
- Indicate where the research will take place. Researchers must minimise personal risks to themselves. Where possible, data collection should be conducted on DMU premises, a host organisation's premises, or in a public place. If data has to be collected in a private area such as a participant's home, then researchers should refer to DMU's Lone Worker Policy (available from POD/HR).
- All researchers (applicants) must confirm that they will obtain full approval of their ethics application and any
 required supporting documents before any data collection takes place. Failure to do so may lead to
 disciplinary action, withdrawal of the study, or if a project is funded externally lead to a funding body taking
 action against the university. It is also important to state here that you will obtain informed consent from
 participants before the study takes place.
- Your study may require you to obtain or work with data that may be culturally, legally, politically sensitive (e.g. studies classes as sensitive research, some aspects of personal body form and intimate health etc.). If you are conducting research into sensitive topics please indicate that you will not conduct any part of this study until you have obtained approval from the Faculty Head of Research Ethics/University Research Ethics Committee. If you are conducting a study that may cause physical and/or psychological harm or distress provide details of how you will protect participants' interests and protecting them from harm.

Section F: Ethical References and Additional Factors

This section MUST be completed by the applicant if they marked YES to one or more questions in section B Once you have completed this section please complete the rest of this form.

6. To which ethical codes of conduct have you refe	COMPULSORY This section MUST be			
Please tick ✓ as applicable all of the following ques	stions	YES	NO	completed as a
a) I confirm that all information collected will be process use in accordance GDPR 2018	sed by	√		COMPULSORY REQUIREMENT
b) I confirm that I will follow DMU's ethical codes of corfor Good Research Practice	nduct	√		By government law all data must be handled in accordance with GDPR and
c) Are there any other ethical codes not listed above th will be referring to? If YES please specify and include any links below:	at you	✓		all researchers must comply to DMU's guidelines for Good Research Practice
Name:	Web ad	ddress:		
add name of ethical code (if applicable)	add we	b address	(if applicab	le)

7. Additional factors								
Please tick ✓ as applic	Please tick ✓ as applicable for the following question YES NO							
Are there other additional difficulties?	✓							
If YES please specify.	For example: Translating documents into a different language - All applic							
For examples see	research requires the use of information/consent forms will complete the	faculty ap	<mark>proved</mark>					
sample application	templates (which are in English) and submit them with their application fo							
<u>form</u>	approval. Once approval has been granted these documents should then	n be transl	<mark>ated</mark>					
	into another language for distribution in the field. It is your (the applicant)	and their						
	tutor/supervisor's joint responsibility to ensure that the non-English version	ons of the	<u>form</u>					
	have the same meaning and sense as the approved English versions. The	ranslated						
	versions of the forms are NOT required for inspection (only the DMU Eng	glish ones)	i <mark>.</mark>					
	Translated versions may be requested if the Faculty Head of Research E	thics deer	ns it					
	necessary.							
	If there are no factors you could state that "There are no additional factors will give rise to							
	any ethical concerns.							

Section G: Questions for Ethical Approval of Sensitive Research

This section MUST be completed by the applicant if they marked YES to one or more questions in section B Once you have completed this section please complete the rest of this form. Further guidance can be located at: http://www.dmu.ac.uk/research/ethics-and-governance/sensitive-research.aspx

ALL RESEARCH WHICH ANSWERS YES TO ONE OR MORE QUESTIONS IN SECTION G IS CLASSED AS HIGH RISK AND MUST BE REFERRED TO ADHethics@dmu.ac.uk FOR CONSIDERATION BY THE FACULTY HEAD OF ETHICS ONCE FULLY COMPLETED AND AUTHORISED BY THEIR TUTOR

8. Sensitive Research		
Please tick ✓ as applicable all of the following questions	YES	NO
1. Does the intended research include research into illegal activities? (This may include, but is not limited to, research into hate crime, theft, fraud, or harmful and illegal cultural practices, etc.). Please note: the university does not permit any crime to be committed for research purposes, such as accessing images of paedophilia or child abuse, unless special permission has been granted by the Home Office.		✓
Does the research involve deception? (if yes, please give brief details as to why/how in the space below)		\checkmark
3. Will the research require the use of sites usually prohibited on university computers (e.g. pornography or the sites of these <u>prohibited organisations</u>)?		√
4. Has the research been commissioned under a commercial contract requiring secure storage for related materials?		✓
5. Does the intended research fit into any of the following categories? If so, please indicate which in the points below:		
a) Terrorism, extremism, terrorist or extremist organisations or groups, extremist ideologies, radicalisation, de-radicalisation		✓
b) Commissioned by the military or GCHQ:		√
c) Commissioned under an EU/US security call or similar:		_/

9. Accessing Websites for the Research Areas Outlined in Section 9, question 5 a - e				
Please tick ✓ as applicable the following question and refer to the note highlighted below YES				
Will your research involve visits to websites that might be associated with radicalisation or terrorist/extremist organisations or groups?		✓		

If you answer **YES** to question 9 you are advised that such sites may be subject to surveillance by the police and accessing those sites might lead to police enquiries. It is strongly recommended that you use your university network address, once you have received ethical approval, which will ensure these activities are flagged as a legitimate part of your research. Whilst acquiring ethical approval for this project and adhering to University guidance on accessing websites and storing related materials securely will allow the University to verify the legitimacy of you accessing these websites, it cannot guarantee legal protection.

If you answered YES please acknowledge that you understand this risk by marking	I Agree	
the 'I Agree' box with a tick (✓)		

10. Storage and Transmission of Research Materials

The secure storage of data and research material is strongly recommended to all who answered **YES** to section 8 question 5 (although all researchers may make use of the Information Media Technology Services (ITMS) provisions detailed in this form). Please note that anyone storing participants' personal data is subject to separate legislation and requirements. Details are outlined here, and in the university's Research Records Retention Policy.

Please tick ✓ as applicable for the following questions and refer to notes highlighted below	YES	NO
Does your research involve the downloading and storage on a computer of any materials		<u> </u>
relating to extremism or radicalisation (for example, records, statements or other documents)?		

If you answered **YES** to question 10, you should request a secure file share from ITMS to be created for your project, with access restricted to you, or if absolutely necessary, any internal co-investigator(s). The research materials should not be kept on a personal computer, and all online research in this area should be done on university servers. Physical data should be scanned and uploaded to the password-protected server; where this is not possible, it should be kept in a locked filing cabinet or similar on university premises.

You will need to agree to store all materials relevant to question 9 and question 11, as well as any other materials related to your research project in accordance with this advice in order to gain ethical approval.

If you answered YES please confirm you will store all research documents in	I Agree	
accordance with this advice by marking the 'I Agree' box with a tick (✓)		

11. Storage and Transmission of Research Materials					
Please tick ✓ as applicable for the following question and refer to the note highlighted	YES	NO			
below	ILS	NO			
Might your research involve the electronic transmission of such materials to project Co-					
Investigators?					

NOTE: The Terrorism Act (2006) and the Counter-Terrorism and Security Act (2015) outlaw the dissemination of terrorist publications if the individual concerned has the intention to encourage or induce others. Publications disseminated for the purposes of an approved and clearly defined research project should not amount to an offence, because the requisite intention is unlikely to be present. However, you are advised to exercise caution and avoid dissemination of raw research materials where possible.

If you answered YES you will need to agree to only transmit these materials to Co- Investigators after they have been password-protected and that you will only use 'Zend', which encrypts materials in transmission by marking the 'I Agree' box with a tick (✓)	I Agree	✓
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DEFINITIONS

Illegal activities incorporates any illegal activity; for example, trespassing, theft, or online piracy

Hate Crimes are those committed against someone because of their disability, gender-identity, race, religion or belief, or sexual orientation.

Harmful and illegal cultural practices: these include violence against women and girls, Female Genital Mutilation (FGM), forced marriage, child sexual exploitation and honour-based violence.

Accessing prohibited websites: You will need to seek permission from ITMS; advice on how to gain permission is available from the ITMS helpdesk.

Radicalisation refers to the process by which a person comes to support terrorism and forms of extremism leading to terrorism **De-radicalisation** usually refers to activity aimed at a person who supports terrorism and in some cases has engaged in terrorist related activity, which is intended to effect cognitive and/or behavioural change leading to a new outlook on terrorism and/or disengagement from it.

Secure File Share: You will need to ask ITMS to create a Secure File Share for your project, with access restricted to yourself, or if absolutely necessary, any internal co-investigator(s). Advice is available from the ITMS helpdesk.

Zend: advice on using Zend is available from the ITMS helpdesk.

Section H: Authorisation and Approval

BEFORE COMPLETING THIS SECTION PLEASE REFER TO THE NOTE BELOW:

- Applications must undergo formal assessment BEFORE undertaking any data collection directly associated with the proposed research activity
- Applicants must submit their fully completed application and supporting documents from an official DMU email account to their Tutor for checking, authorisation and risk assessment in the first instance
- Please consider naming and numbering documents appropriately to assist reviewers
- Accepted file formats: word.doc / word.docx only electronic forms must be completed/submitted
- Signing **Section H (12 13)**, confirms that the applicant and Tutor has read, understood and will/assist the applicant to comply with the ethical procedures stated in this application
- If you are requested to resubmit please use the same completed form and clearly track/highlight all changes

ADH Ethics Overview Guide, sample application forms and supporting document templates and submission dates can be located the ADH Ethics website

12. Applicant – Checklist and Authorisation							
Please check your ap	plication and tick ✓ as	applicable to t	he follo	wing questions	YE	S	NO
a) I declare that I have completed all fields, understand and will comply with the ethical						/	
procedures declared in Section A – H of this application form							
b) I confirm that I have consulted with the Sample ADH Ethics Application when						/	
completing this form - a	available on the <u>ADH Eth</u>	nics website			V		
c) I confirm that I have	referred this ethics appli	cation to my Tut	or			/	
	. э. э. э. а.				✓		
	10 5 0 00						
•	read the Responsibilities	s of the Researc	<u>her</u> guid	lelines and I will	√		
comply with them							
e) I confirm that I have	completed Section G Q	uestions for Et	hical A	oproval of	4	/	
Sensitive Research (C	COMPULSORY)				V		
f) I declare that I have o	considered the ethical im	nplications of the	researd	ch proposed in this		/	
′	tand that I must not unde	•			V		
fully approved		,		,			
* ' '	of my study changes I a	agree to re-apply	for ethic	cal approval before		/	
commencing with my re		9			V		
					4 (11 4		\ 141
	submitted for assessme				•		•
	lease refer to section L	of this form to			ents a	re sut	mitted
Participant Information Sheet Consent Form							√
DMU Health and Safety Assessment Form DMU COSHH Risk Assessr			nent		√		
Form							
Applicant Name:	Write name here (To b	e completed by		Date:	DD/M	<mark>IM/YY</mark>	YY
	applicant only)						

13. Tutor – Authorisation

BEFORE COMPLETING THIS SECTION PLEASE REFER TO THE NOTE BELOW:

- Tutors MUST confirm that they fully authorise the content of the application and supporting documents by completing this section in full. Forms must be checked and risk assessed in the first instance by the Tutor
- By completing this section the tutor confirms that they have read, understood and will support the applicant to comply with the above ethical procedures stated in this application
- Accepted file formats: word.doc / word.docx only electronic forms must be submitted
- Sample application forms are available to assist you with your assessment

Please tick ✓ as applicable

a) Risk Assessment: I declare the research proposed in this application to be (please refer to the guidance below to assist you with your decision):

If LOW RISK* please tick (✓)		If MEDIUM RISK** please tick (✓)		If HIGH RISK*** please tick (✓)	✓
b) I confirm that I have read and agreed the content of this form and fully support the research proposed in this application					
c) I declare that the applicant named above has completed their application in collaboration with myself as the applicant's tutor and will be working under my supervision					√
d) I confirm that the applicant has completed all fields in Section A – H of this application form					√
e) I confirm that I have of this submission (a	•	reed the content of all so on D of this form)	upporting documents	required as part	✓

Name:	Write name here (To be completed by Tutor/Supervisor/Line Manager only)	Date authorised: Date re-authorised: (Resubmissions only)	DD/MM/YYYY (For first submissions only) DD/MM/YYYY For resubmissions only that have already undergone FREC approval
Title/Role:	Add title/role	Department/Module:	Add department and module

Low Risk*

Provided that these do not touch on sensitive topics/topics or questions which may be of a personal nature - Anonymous interviews, questionnaires market or opinion research, customer satisfaction surveys, previously collected anonymous data held by the university which cannot be traced back to the individuals who provided them, observations of performances/ behaviour, service level assessments. Low risk tutor authorised forms are granted full approval and must be submitted by the tutor (copying in the applicant) to

ADHethics@dmu.ac.uk to be logged and filed

Medium Risk** Studies where anonymity cannot be maintained (e.g. face-to-face focus groups, workshops and interviews), collection of and/or research using human tissue/fluids, studies which involve participants under 18 or adults at risk, studies that induce anxiety, stress or other harmful psychological states

Medium risk Tutor authorised forms MUST undergo assessment by the relevant committee. Applicants must not conduct research of this type without written approval from the relevant committee.

Applications must be submitted by the tutor (copying in the applicant) to one of the following relevant email addresses: School of Design applications DRESC@dmu.ac.uk; Other School applications

ADHethics@dmu.ac.uk

High Risk*** Research topics concerned with the following 'sensitive research' areas: illegal activities, including the collection of source data, e.g. ethics statistics, or access to web sites normally prohibited on university servers, or extremism and radicalisation. Studies which will need to be reported to University Research Ethics Committee (UREC). High risk tutor authorised forms MUST undergo assessment by the Faculty Head of Research Ethics. Applications must be submitted by the tutor (copying in the applicant) to

ADHethics@dmu.ac.uk

Applicants must not conduct research of this type without written approval from the Faculty Head Research Ethics

14. Medium R	isk Reviewer Outcome -	(Assessor Use	Only)	
First Submiss	ion Outcome (tick ✓ as a	applicable)		
Approved		Rejected	✓	DD/MM/YYYY
Comments/fe	l edback:			
Please amend				
		nt/Guardian Cor	nsent form has not be	en provided. Please complete using
	roved template available o			-
2. 3. 4.				
Resubmission	n Outcome: (tick ✓ as ap	plicable)		
Approved		Rejected	✓	DD/MM/YYYY
Comments/fe	edback:			'

15. High Risk Reviewer Outcome – (ADH Head of Research Ethics Use Only)			
Name:			
Assessment Date: DD/MM/YY	YY		
Outcome (tick ✓ as applicable)			
I declare that high ethical risks ha			Please do not conduct any research
must be referred to UREC before granting full appro		ıl.	proposed in this application until full
I declare that high ethical risks have been identified		nd the	UREC approval has been obtained Please do not conduct any research
following rules must be adhered to			proposed in this application until you
Tutor as indicated in the recomme			(the applicant) has confirmed that this
			has been addressed in writing
Recommendations required to conduct sensitive research			
(Clearly indicate what is required)			
ADU Hand of Bassayah Ethios Outcomer I declare this application to be (Blassa tick / as applicable)			
ADH Head of Research Ethics Outcome: I declare this application to be (Please tick ✓ as applicable)			
Approved	R	Rejected	