

CRISIS MANAGEMENT PLAN

(edited version)

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Crisis Management Plan

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Introduction

Overview

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Mission Statement

Mission Statement

De Montfort University intends to respond to any crisis with the minimum disruption to staff or students, and with minimum disruption to the delivery of any services to internal and external customers.

It also intends to respond with respect and support for any member of staff, student or customer who may be involved, directly or indirectly, in any crisis, or who may be affected by any crisis as a consequence of the nature and/or impact of the crisis. This may include family or friends of staff, students or customers.

Critical processes

At a meeting on 16 March 2010, the University's Senior Executive endorsed the following as the critical processes it is committed to maintaining:

Strategic Command and Support

The importance of having key people available to manage a critical incident cannot be stressed enough.

The University is committed to supplying key decision and policy makers at a strategic level to manage any critical incident and the recovery from it. Our plans will reflect our resilience and commitment to this undertaking.

Crisis Communications

Communications, both internally and externally, including the management of the media are more acute at times of crisis.

The University is committed to providing personnel, technology and infrastructure to ensure that communication systems are fit for purpose at times of crisis. This will be reflected in our plans.

Academic Delivery

Academic delivery is one of the fundamental requirements of the University. We recognise that this issue may be time critical, reflective of the time in the academic year when a critical incident occurs. We also recognise that there are some courses that depend upon face to face delivery and some where alternatives may be used. This consideration would also include the continuation of provision of access to learning resources wherever possible.

The University is committed to considering all alternatives when endeavouring to identify the most appropriate means of supporting Academic Delivery in a crisis.

Assessment and Examination

These processes tend to be time-critical and therefore more critical at certain

times of the academic year, although assessment exercises, whether exams or course work submission, do take place throughout the academic year. They are fundamental to the continuation and progression of the student population and the delivery of DMU's key product (qualifications) in a timely manner.

The University will endeavour to support these processes at times of crisis to ensure that continuity and integrity are maintained.

Admissions

These are the range of processes concerned with the technicalities of logging applications and making offers to home and international students; processes are time critical but, again, a fundamental process within the University business.

The University is committed to providing support to these processes at those critical times to ensure their continuation in the event of a crisis.

Marketing

These are processes which support the admissions process, but are often focussed on plans for up to two years ahead. The University may take a view that these activities could be suspended if necessary.

Student Welfare

There are activities within the University fundamental to learning which involve a certain amount of risk to individuals or the environment, usually mitigated by control measures through a Health and Safety risk assessment. An example would be lab based working. We also have to consider the learning accommodation and our ability to provide suitable alternative accommodation if needed in a crisis.

In addition, the University would need to ensure accommodation for those students in its own halls, if these were affected.

The University is committed to ensuring that the safety of students, as well as the larger community, is at the forefront of their planning in a crisis. Additionally, alternative options will be considered for the provision of accommodation as necessary.

Staff Safety and Welfare

The University commits to preserve staff safety and welfare in the event of a crisis and not expose staff to unreasonable risks in the course of their duties.

Central and Support Activities

There are some central and support activities that are fundamentally essential to the process of managing a University. Key areas highlighted in the BCPs in terms of support needed by Faculties in the event of a crisis are: IT, registry services (particularly at certain times in the year), Finance (particularly

payroll and being able to order goods), Estates (particularly the ability to source alternative accommodation).

The University will commit to regular reviews of plans to ensure that these support structures are able to deliver their processes, to a greater or lesser extent, in the time of a crisis.

Background to the Plan

Rationale

Following the occurrence of two serious incidents on University premises during 2007, De Montfort University recognised a need to examine its crisis management and business continuity capabilities and to define a structured response to any future crisis situation. Key to this would be:

- a documented plan that is generic in nature, rather than specific to any type of crisis;
- a clear command structure; and
- individuals who are fully aware of the roles they would be expected to undertake in a crisis situation.

In November 2007, members of the Strategic Management Group endorsed the development and operation of a Crisis Management Plan.

Training day

To assist with the Plan's development, a training day for the University's senior managers took place in May 2008. The training took the form of tabletop scenario-based excercise, led by an external consultant.

The exercise exposed managers to a likely crisis and, as the incident unfolded, enabled them to consider the impact on the University and its critical business areas.

Development of the process

The above training day prompted the need to produce a Business Continuity Plan for each Faculty and Directorate and to integrate existing emergency plans with the new Crisis Management Plan.

Scope of the Plan

What the Plan covers

The Plan defines a framework for members of the Crisis Management Team, should the Plan be implemented in whole or in part, including;

- Events that may form 'a crisis';
- The structure of the Crisis Management Team and the roles of its members:
- A system for crisis communications;
- The resources available to the Crisis Management Team; and
- Contact details for Crisis Management Team members and other key service providers.

What the Plan does not cover

The Plan does not identify:

- Strategic (Gold level) decisions to be made;
- Tactical (Silver level) supervisory decisions to be made; or
- Operational (Bronze level) actions to be taken.

Such information is contained within the Faculty and Directorate Business Continuity Plans. These are held electronically on the central storage facilities (see Resources, Crisis Documentation for location) and in hard copy at Faculty/ Directorate level.

Related policies, plans and procedures

The Crisis Management Plan should be applied in conjunction with the following University policies, plans and procedures:

| Policy/Plan/Procedure | Owner | Contact |
|----------------------------------|------------------|------------------------|
| Emergency Action Policy | Health, Safety & | Mike Haynes-Coote, |
| | Wellbeing | Head of Department |
| Business Continuity Plans | Faculties/ | Relevant Dean/Director |
| | Directorates | |
| Health and Safety Policy | Health, Safety & | Mike Haynes-Coote, |
| | Wellbeing | Head of Department |
| Guidelines on Pandemic | Human Resources | Sarah Setchell, Deputy |
| (Swine) Flu for Employees | | Director |
| and Managers | | |

Risk assessment The Plan assumes that all current risk assessments are valid.

Training and awareness

Training of Team members

This plan is subject to regular training and exercise opportunities. As a minimum, the following training will take place:

| Type of change | Requirement | By whom |
|---------------------------|-----------------------------|-----------------------|
| A business change within | Input as appropriate to | Head of Security, |
| the crisis management | explain the changes | Director of SPS or |
| process | | other, as appropriate |
| A change of named role | Individual training in the | Head of Security |
| or post-holder within the | content and requirements | |
| Crisis Management Gold | of the role and appropriate | |
| Team | terms of reference | |

Annual briefing

In addition to any input provided as a result of a process or post-holder change (see above), an annual briefing on Crisis Management will be provided to all Gold Team members, and will be cascaded to other staff as appropriate.

Bronze (operational) level training

Role specific training at bronze (operational) level will be provided, as and when required, by the appropriate Silver level (tactical) commander.

Reviewing the Plan

Timescale

The plan will be reviewed annually.

However, if any significant business change occurs, the relevant section(s) of the plan will be updated at the earliest opportunity.

Responsibilities

The process of reviewing and updating this plan and its related documents will be overseen by the Executive Board, or nominated sub group.

Evaluating the event

Overview

In this section

This section contains the following topics.

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Emergency or Crisis?

Definition

Described below are the terms 'emergency' and 'crisis' as defined by the Civil Contingencies Act. These definitions are intended to assist with consistency of understanding across the emergency services / responders.

| An | | Is defined as |
|-----------|--|---|
| Emergency | An event or situation which threatens serious damage to human welfare in a place in the United Kingdom; An event or situation which threatens serious damage to the environment of a place in the United Kingdom; or War, or terrorism, which threatens serious damage to the security of the United Kingdom. University resources subject to an accidental or a deliberate act | |
| | | 'emergency', for example: |
| | • a fire on can | - |
| | a suspect pacea chemical s | |
| Crisis | Singular or combined events or occurrences happening on-site or off-site that have an impact on either: • business continuity; or • the health and welfare of staff, students or customers (and their families and friends). A crisis will require additional resources to assist in resolving the situation. A crisis can be caused by a: | |
| | Event | Cause |
| | Natural | Pandemic influenza |
| | occurrence | • Flood |
| | Spontaneous, | • Fire |
| | unplanned event | ExplosionUtility failure |
| | | Adverse media exposure |
| | | Act of terrorism (conventional or CBRN (see Glossary, below, for definition)) |

Determining the crisis level

Table The table below may assist in determining the level of response required:

| Type of loss | If the loss can be described as | Then the crisis level can be described as a |
|---|---|---|
| Asset loss | | us u ··· |
| Buildings | Minor structural or cosmetic damage - no evacuation or relocation of occupants or services required Moderate damage - limited evacuation | Localised incident Minor crisis |
| | and short term relocation required Major damage – significant evacuation and longer term relocation of occupants and services required | Major crisis |
| Equipment | Loss of limited equipment – requires replacement – easily sourced | Localised incident |
| | Loss of equipment – requires replacement – timescale for delivery impacts on service delivery | Minor crisis |
| | Loss of equipment – difficult to replace – major impact on service delivery | Major crisis |
| • Plant (e.g. heating systems, power generators, etc) | Loss of limited equipment – requires replacement – easily sourced | Localised incident |
| | Loss of equipment – requires replacement – timescale for delivery impacts on service delivery | Minor crisis |
| | Loss of equipment – difficult to replace – major impact on service delivery | Major crisis |
| Failure of IT/communications systems | | |
| Telephony | Loss of limited services to site(s) but some access to all areas – requires engineer response | Localised incident |
| | Loss of service to site(s) – no critical impact on services - no fallback option – requires prompt engineer response | Minor crisis |
| | Major loss of system – no fallback options – critical impact on service delivery – requires urgent engineer response or provision of fallback option(s) | Major crisis |

| • Radio | Loss of limited services to site(s) but | Localised |
|--------------------|--|---------------|
| (i.e. mobile staff | some access to all areas – requires | incident |
| communications) | engineer response | |
| , | Loss of service to site(s) – no critical | Minor crisis |
| | impact on services - no fallback option | |
| | - requires prompt engineer response | |
| | Major loss of system – no fallback | Major crisis |
| | options – critical impact on service | Tragor Crisis |
| | delivery – requires urgent engineer | |
| | response or provision of fallback | |
| | option(s) | |
| Computers | Loss of limited services to site(s) but | Localised |
| Computers | some access to all areas – requires | incident |
| | engineer response | meident |
| | Loss of service to site(s) – no critical | Minor crisis |
| | impact on services - no fallback option | WITHOI CHSIS |
| | | |
| | - requires prompt engineer response | Moior origin |
| | Major loss of system – no fallback | Major crisis |
| | options – critical impact on service | |
| | delivery – requires urgent engineer | |
| | response or provision of fallback | |
| | option(s) | T 1' 1 |
| • TV/Visual | Loss of limited services to site(s) but | Localised |
| | some access to all areas – requires | incident |
| | engineer response | 3.61 |
| | Loss of service to site(s) – no critical | Minor crisis |
| | impact on services - no fallback option | |
| | - requires prompt engineer response | |
| | Major loss of system – no fallback | Major crisis |
| | options – critical impact on service | |
| | delivery – requires urgent engineer | |
| | response or provision of fallback | |
| | option(s) | |
| Failure of supply | Limited loss of supply provider – new | Localised |
| <u>chain – TO</u> | provider can be readily sourced – no | incident |
| <u>University</u> | critical impact | |
| | Significant loss of supply provider – | Minor crisis |
| | new provider difficult to source – | |
| | requires CMT approval for funding or | |
| | fallback options | |
| | Major impact on service provision by | Major crisis |
| | loss of supply provider – new provider | - |
| | difficult to source – CMT required to | |
| | approve incremental closure or fallback | |
| | options | |
| l | | 1 |

| Limited loss of supply provider – new provider can be sourced internally – no critical impact |
|---|
| WITHIN University Significant loss of supply provider — critical service — CMT required to approve funding or fallback options (mutual aid?) — can be sourced elsewhere Major impact on service provision — Major crisical service |
| University Significant loss of supply provider — critical service — CMT required to approve funding or fallback options (mutual aid?) — can be sourced elsewhere Major impact on service provision — Major cris critical service |
| critical service – CMT required to approve funding or fallback options (mutual aid?) – can be sourced elsewhere Major impact on service provision – Major cris |
| approve funding or fallback options (mutual aid?) – can be sourced elsewhere Major impact on service provision – Major cris critical service |
| (mutual aid?) – can be sourced elsewhere Major impact on service provision – Major cris critical service |
| elsewhere Major impact on service provision — Major cris |
| Major impact on service provision – Major cris |
| critical service |
| |
| |
| - limited sources elsewhere |
| – possible repercussions on integrity of |
| University if fallback option not |
| sourced immediately |
| Loss of staff Limited loss of staff (e.g. minor illness Localised |
| / injury) – replacement(s) easily sourced incident |
| internally |
| Significant loss of staff (e.g. specialist Minor cris |
| post) or multiple simultaneous staff |
| loss (accident / illness (e.g. pandemic |
| Flu)) – may be able to source elsewhere |
| Significant loss of staff (e.g. specialist Major cris |
| post) or multiple staff loss (accident / |
| illness (e.g. Pandemic Flu)) – unlikely |
| to be able to source from elsewhere |
| Loss of service Limited loss of assets / staff – can be Localised |
| <u>delivery</u> sourced elsewhere – limited impact on incident |
| service delivery |
| (see also Asset loss Significant loss of assets / staff – may Minor cris |
| and Loss of staff, be sourced elsewhere but will have |
| above) short-term to long-term impact on |
| service delivery |
| Significant major loss of assets / staff – Major cris |
| unlikely to be sourced elsewhere – will |
| have significant short / medium / long- |
| term impact on service delivery |
| Loss of students Limited loss of student(s) due to Localised |
| localised illness or injuries (e.g. traffic incident |
| accident) – can be dealt with by current |
| University health & welfare services |
| Loss of multiple students due to illness/ Minor cris |
| injury/fatality (e.g. meningitis epidemic, |
| RTC, other study-related event) – |
| requires CMT intervention in support of |
| existing health & welfare services |
| Major loss of multiple students due to Major cris |
| pandemic illness – including fatalities – |
| requires CMT intervention in |
| conjunction with Local Resilience |
| Forum and Civil Contingencies |
| 'Emergency'. |

| Loss of, or threat | Limited threat – minor event contained | Localised |
|--------------------|--|--------------|
| to, reputation/ | on site and responded to in good time – | incident |
| integrity of | no adverse public impact | |
| <u>University</u> | Minor threat – minor event contained | Minor crisis |
| | on-site – has been brought to public | |
| | attention – requires corporate media | |
| | response and reassurance | |
| | Major event – e.g. breach of security to | Major crisis |
| | CBRN resources or failure of such – in | |
| | public spotlight – requires corporate | |
| | media response and reassurance | |

Responding to the crisis

Overview

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Level of response

Response

The nature of the emergency or crisis will determine the level of response by the Crisis Management Team. The decision to convene either the whole or part of the Team will be made by the Initial Response Cadre (see <u>Alerting the Crisis Management Team</u>, below) of the Gold Level (Strategic) Command element of the Team.

Generally, however:

| If the crisis is | Then |
|----------------------|---|
| deemed to be: | |
| An emergency | The Crisis Management Team would form part of a |
| | multi-agency response to the event |
| A localised incident | The CMT may elect not to convene |
| A minor Crisis | The CMT may elect to respond at a minimum level |
| A major Crisis | A full CMT response is required. |

Alerting the Crisis Management Team

Alerting

Whenever a crisis or potential crisis is identified, the following procedure should be instigated immediately:

| Step | Action | | |
|------|--|--|--|
| 1 | Alert Security by telephoning: | | |
| | | | |
| | Consider to alore Distriction A | duter master for this grown as is held | |
| 2 | by Security Control; | duty roster for this purpose is held | |
| 3 | Duty Officer to contact Chief Op | perating Officer or VC: | |
| 4 | Duty Officer and Chief Operatin | | |
| | event amounts to: | g officer, to to decide whether | |
| | Localised incident; | | |
| | Minor Crisis; or | | |
| | Major Crisis. | | |
| | | | |
| | Note: This could involve convening a briefing meeting of initial key | | |
| | staff. | | |
| 5 | Duty Officer to initiate appropriate response. | | |
| | | | |
| | Notes: If it is concluded that the Then | | |
| | event | Then | |
| | amounts to a Minor Crisis or | The Initial Response Cadre will be | |
| | a Major Crisis | called (see below). | |
| | Is a localised incident | The Duty Officer must sign-off | |
| | | the incident response as complete. | |

Initial Response Cadre

It has been agreed that for any minor or major crisis, a small cadre of key personnel will be alerted and form an initial CMT. The cadre should consist of:

- Duty Officer (Gold Commander);
- Head of Security (Specialist Tactical advisor);
- Head of Corporate Communications (Communications Coordinator);
- Director of Finance (Planning Coordinator); and
- Director of Student and Academic Services (Gold Team Manager).

Normally, these staff should report to the Security Offices, Estates Management Building, The Gateway (opposite Gateway House), which will be the CMT site for spontaneous and short-term crisis management purposes. However, when in doubt, contact Security for guidance (see above for contact number).

Flow diagram

A graphical illustration of the call out process is attached at Appendix 1.

Structure and roles

Introduction

In keeping with recognised Local Authority Emergency Services, the University's response to a crisis will comprise three levels:

- Gold level (Strategic) Command;
- Silver level (Tactical) Command; and
- Bronze level (Operational) Command.

This structure will ensure that, for events requiring the co-operation of both the University and Local Authority services, recognisable points of contact can be established quickly and painlessly at appropriate levels of Command.

During the early stages of any crisis, the Crisis Management Team will most likely comprise of both Gold and Silver representatives.

The following tables indicate the roles and responsibilites assigned to each of these levels:

Gold Level (Strategic) Command

The extent to which Gold members are required, and for how long or how often they convene once strategy has been set, must be a decision of the overall Gold Commander.

The Gold Team are authorised to sanction any activity or funding that may be required to resolve the crisis.

Gold Commander (Strategic Head of CMT)

Initial action:

- a) The Gold Commander will be contacted by the Duty Officer;
- b) Contacting the Vice-Chancellor will be at the Gold Commander's discretion:
- c) Based on the available information, the Gold Commander will decide whether the incident is 'Localised', 'Minor' or 'Major' (see 'Determining the crisis level', above);
- d) If the incident is considered to be 'Major' or 'Minor', the Gold Commander will convene the Initial Response Cadre (IRC). A virtual meeting of the IRC can be arranged through the Conference Genie service (refer to grab bag for instructions);
- e) The IRC will decide which members of the Gold and Silver teams to bring in.

| Named Holder | Alternative or Deputy | Responsibility | Initial response cadre? |
|-----------------|-----------------------|-------------------------------|-------------------------|
| Chief | Duty Officer | To provide overall strategic | |
| Operating | | guidance and decision making | |
| Officer | | within the CMT with regard to | (If Duty |
| | | both the crisis in-hand and | Officer) |

| , | |
|---|--|
| | business continuity; |
| | To direct and ensure that all resources are completing their appropriate tasks at all three levels of response (Strategic, Tactical and Operational); |
| | To be identifiable as Strategic Head for media and corporate communications for the duration of the crisis, and to represent the University in that capacity in television or radio broadcasts should they arise; |
| | To consider any requirements to notify external regulators, funding bodies, etc of serious incidents affecting the University. For example, the University has a requirement to report 'serious incidents' to HEFCE, as defined in Annex H of the Model Financial Memorandum between HEFCE and institutions; and |
| | To validate any changes in the level and composition of the CMT as the crisis moves through response to recovery. |

Academic Operations Co-ordinator

This role will work closely with the Operations (Central Services) Coordinator and the Planning Co-ordinator.

If the Initial Response Cadre (IRC) decides that the incident warrants a full Gold team response, the Academic Operations Co-ordinator will be contacted by the Gold Team Manager and asked to attend a Crisis Management Team briefing.

| Named | Alternative | Responsibility | Initial |
|--------------------------------|-----------------------------------|---|-----------------------------|
| Holder | or Deputy | | response |
| PVC (Teaching& Learning) | PVC (Research & Innovation) | To determine the extent and potential consequences of the crisis in terms of business continuity (core service delivery); | cadre? √ (If Duty Officer) |

| To prioritise response to the crisis and direct the appropriate Silver team; | |
|---|--|
| To liaise with Silver team and other departments as single point of contact for academic matters during the crisis; | |
| To facilitate the requirements of the Silver team to respond to the crisis; and | |
| To direct any recovery processes required, post-response, of the crisis. | |

Operations (Central Services) Co-ordinator / Planning Co-ordinator

N.B. This role can be filled by two people if a Major Crisis.

The Operations (Central Services) Co-ordinator / Planning Co-ordinator will be either:

- a) Contacted by the Gold Commander and asked to be part of the Initial Response Cadre(IRC) discussions; or
- b) Be contacted by the Gold Team Manager and asked to attend a full Gold team briefing

| Named | Alternative | Responsibility | Initial |
|-------------|-------------|----------------------------------|-----------------|
| Holder | or Deputy | | response cadre? |
| Director of | Director of | To support the Gold | |
| Finance | Estates & | Commander as single point of | $\sqrt{}$ |
| | Commercial | contact for Silver team for | |
| | Services | Central Services, in conjunction | |
| | | with the Academic Operations | |
| | | Co-ordinator. | |
| | | To work in conjunction with the | |
| | | Academic Operations Co- | |
| | | ordinator to monitor events and | |
| | | assess potential impact and | |
| | | consequences; | |
| | | To collate and disseminate | |
| | | information for the Gold team; | |
| | | To co-ordinate and ensure | |
| | | correct communications single | |
| | | points of contact are maintained | |
| | | between Gold, Silver and | |
| | | Bronze teams at the event | |

| | location(s); and | |
|--|---|--|
| | To maintain liaison with Local Authority emergency response representatives as single point of contact for the Gold team. Note: If extensive liaison will be required, a separate Planning Co-ordinator should be appointed. | |

People Co-ordinator

If the Initial Response Cadre (IRC) decides that the incident warrants a full Gold team response, the People Co-ordinator will be contacted by the Gold Team Manager and asked to attend a Crisis Management Team briefing.

| Named | Alternative | Responsibility | Initial |
|-----------------|-----------------|--|-------------------------------------|
| Holder | or Deputy | | response |
| | | | cadre? |
| Director of POD | Director of POD | To ensure all staff, students, families (where appropriate) and customers receive appropriate welfare and support throughout the response and recovery phases; | √ (Director of HR, if Duty Officer) |
| | | To facilitate transport and accommodation as required; and | |
| | | To work in liaison with Local Authority emergency services to provide suitable locations and resources for casualty and/or survivor emergency centres, family centres, etc, wherever possible within DMU facilities. | |

Communications Co-ordinator

The Communications Co-ordinator will be contacted by the Gold Commander and asked to be part of the Initial Response Cadre (IRC) discussions.

| Named Alternative Holder or Deputy | | Responsibility | Initial response cadre? |
|---|---|---|-------------------------|
| Director of Marketing and Communic | Corporate Communicati ons Manager | To manage information delivery / sharing protocols and points of contact for, and throughout, the crisis; | √ √ |
| ations | | To develop (in conjunction with | |

| LRF Communicating with the | |
|-----------------------------------|--|
| Public Group – where | |
| appropriate) corporate messages | |
| for each stage of the crisis; and | |
| | |
| To develop internal key | |
| messages for staff, students (and | |
| families where appropriate) and | |
| customers. | |

Gold Team Manager

The Gold Team Manager will be contacted by the Gold Commander and asked to be part of the Initial Response Cadre (IRC) discussions.

| Named | Alternative | Responsibility | Initial |
|-------------|-------------|---|-----------|
| Holder | or Deputy | | response |
| | | | cadre? |
| Director of | Head of | To establish a suitable CMT | |
| Student and | Academic | facility, including Gold and | $\sqrt{}$ |
| Acacemic | Quality | Silver facilities, equipped for | , |
| Services | - | the roles they will undertake; | |
| | | To record Gold team decisions and maintain a policy log of actions directed; | |
| | | To support co-ordinators where appropriate; and | |
| | | To maintain details of Silver team currently employed (assuming staff rotation over a protracted crisis) at any given time. | |

Silver Level (Tactical) Command

The Silver level Command provides continuity throughout any crisis and will sit until the crisis moves to recovery stage.

The responsibilites of the Silver level command will be to:

- provide a tactical response based on strategic guidance provided by the Gold Command Team;
- utilise specialist knowledge of the Faculty/Directorate and apply it to the event to determine possible extent and consequences of the crisis;
- identify appropriate Bronze level staff to respond to the crisis;
- inform the Gold Command Team of any changes in response or tactics;
- liaise with the Gold 'People Co-ordinator' to ensure appropriate welfare of staff and students is maintained throughout the crisis;
- liaise with Local Authority emergency responders in the event of a crisis requiring their response and co-ordination; and

 maintain a tactical overview and management of the crisis in the absence of Gold Team representation.

Membership will, in most cases, reflect those individuals nominated within Faculty and Directorate SOSOs and Business Continuity Plans and will, generally, comprise senior staff from the following Directorates:

- Estates & Commercial Services, including Security;
- POD, including Health, Safety and Wellbeing;
- ITMS;
- Legal Services;
- Finance; and
- Student and Academic Services.

The actual number of staff and roles required will depend upon the nature of the crisis.

Those currently nominated are listed in the <u>Contact names and numbers</u> chapter, below.

Bronze Level (Operational) Command

Bronze Commanders do not form part of the CMT but are the University's main Operational responders. Membership will comprise suitable supervisory or specialist staff from across the University and will, in most cases, be those already identified in Faculty/Directorate Statements of Safety Organisation (SOSOs).

The responsibilites of the Bronze level command will be to:

- provide an appropriate Operational response to any crisis, based on, and utilising, their specialist knowledge;
- maintain liaison with Silver Commanders regarding changes in response or recovery processes; and
- ensure that sufficient staff are available to respond to the crisis at Bronze level, liaising with Silver Command as appropriate.

It is acknowledged that not all skills will need to be available at the outset of a crisis. Staff with the required skills/knowledge should be drafted-in at the relevant stage of response and/or recovery.

Key actions

Response phase Once the Duty Officer and Chief Operating Officer/VC have concluded that the CMT should convene, the following actions should be undertaken:

| Action | Person responsible | Comments |
|------------------------------|----------------------|-------------------------|
| Initiate / establish CMT | Gold Team Manager | CMT should aim to be |
| incident (command) rooms | | up and running within: |
| , | | • 60 mins - normal |
| | | working hours; or |
| | | • 120 mins - out of |
| | | hours. |
| Identify and callout | Gold Team Manager | As above. |
| appropriate CMT members | – as directed by | |
| | Gold Commander | |
| Identify Loggist to record | Gold Team Manager | Chronology of actions |
| all CMT Gold decisions | 8 | to be recorded. |
| | | |
| | | Consider any potential |
| | | public enquiry. |
| Identify CMT priorities | Gold Commander, | |
| and communicate | supported by | |
| accordingly | Operations (CS) Co- | |
| | ordinator | |
| Implement Business | Academic | |
| Continuity Plans as | Operations Co- | |
| appropriate | ordinator | |
| Record Silver and Bronze | Gold Team Manager | Maintain resilience in |
| teams and means of | | key staff. |
| contact | | |
| Notify LA Emergency | Operations (CS) Co- | Only if crisis requires |
| Responders and identify as | ordinator / Planning | involvement or support |
| Single Point of Contact | Co-ordinator | from LA emergency |
| | | responders. |
| Consider any requirements | Gold Commander | |
| to notify external | | |
| regulators, funding bodies, | | |
| etc of the incident | | |
| Evacuate site if amounts to | Head of Security | Consider alternative |
| an evacuation event | Services | suitable location prior |
| | | to evacuation. |
| Secure Emergency | Head of Security | RVPs to be identified |
| Services access routes and | Services | and secured to provide |
| Rendezvous points (RVPs) | | ease of access. |
| Identify reception sites for | People Co-ordinator, | Liaise with Director of |
| displaced staff / students, | in conjunction with | Estates & Commercial |
| etc if required | the appropriate | Services - Consider |
| Reference can be made to | Silver team members | requirements of users. |
| Business Continuity Plans | | |

| Register displaced staff / students, etc arriving at reception sites | HR Bronze team - nominated by above | Lists of personnel to be maintained. |
|--|---|--|
| Record details of any casualties in such an event and secure relevant HR and student records | People Co-ordinator | As above. |
| Consider initial and potential media messages, responding to strategic steer from Gold Commander | Communications Co-ordinator | Consider liaison with LRF Media group for guidance / advice and consistency. |
| Consider information strategy for notifying next of kin in casualty type event | People Co-ordinator / Communications Co-ordinator | Close liaison with Emergency Services required if fatalities occur re Coroner requirements. Also, liaison with relevant Silver team members, e.g. Head of Governance & Legal Services. |
| Consider potential legal / integrity issues in conjunction with relevant Silver team members, for example, Head of Governance & Legal Services | Operations (CS) Coordinator / Planning Co-ordinator | Regular reviews of decisions. |
| Consider internal media / messaging strategy | Communications Co-ordinator | Consistency in messages. |
| Consider opening call centre capability for enquiries | Operations (CS) Coordinator | To be separate from normal business call handling. |
| Consider short and medium term staff / student welfare (duties / refreshments, etc) | People Co-ordinator, alongside Operations (CS) Co-ordinator | |

Recovery phase

Once the crisis is under control, the Crisis Management Team should arrange to undertake the following actions:

| Action | Person responsible | Comments |
|------------------------------|---------------------|----------|
| Establish commencement of | Gold Commander | |
| the Recovery Phase and set | | |
| the overall framework with | | |
| relevant CMT members | | |
| Implement longer-term BCPs | Academic Operations | |
| in liaison with relevant | Co-ordinator | |
| Faculties and Silver members | | |
| Maintain decision logs as | Gold Team Manager | |

| appropriate | | |
|--------------------------------------|---------------------------|-------------|
| De-commission the | Gold Team Manager | |
| Command Room | | |
| Consider cleanup and | Operations (CS) Co- | Liaise with |
| restoration issues | ordinator / Planning Co- | Assistant |
| | ordinator | Director of |
| | | Estates & |
| | | Commercial |
| | | Services |
| Initiate IT and | Chief Information | |
| Communications restoration | Officer | |
| Initiate restoration of student | People Co-ordinator | |
| services / welfare | | |
| Initiate post-crisis counselling | As above. | |
| services, if appropriate | | |
| Initiate recovery processes | Director of Estates & | |
| from 3 rd party interests | Commercial Services / | |
| (insurers & legal services, | Deputy | |
| etc) | | |
| Initiate and maintain mutual | Operations (CS) Co- | |
| aid processes with other | ordinator, in conjunction | |
| education providers, if | with Deans/Heads of | |
| appropriate | Department | |
| Consider internal and external | Communications Co- | |
| media messages and | ordinator | |
| reassurance where | | |
| appropriate | | |

Debrief phase

Once recovery has been achieved, the following tasks should be undertaken:

| Action | Person responsible | Comments |
|------------------------------|------------------------|---------------|
| Initiate debrief process and | Gold Team Manager, as | |
| review response and recovery | instructed by the Gold | |
| phases, including gathering | Commander | |
| responses/reports from other | | |
| Gold team members | | |
| Respond to requests for | All Gold team members | |
| information from the Gold | | |
| Team Manager | | |
| Complete Post-Crisis Report | Gold Team Manager | |
| Review appropriate Business | Executive Board, or | Recommendatio |
| Continuity Plan(s) based on | nominated sub group | ns to COO |
| Report findings | | |

Communicating the Crisis

Introduction

The key to successful communication is to provide regular, consistent, clear and calm updates to all stakeholders. This will help to reduce the overall volume of calls over time.

A Communications Core Team will be selected from among the Gold Command. The role of the Communications Core Team will be to disseminate information as effectively as possible.

A list of Key actions for the Communications Core Team is provided below.

There are two distinct audiences:

- University staff and students; and
- the wider community.

Informing staff and students

If the Communications Core Team agrees that staff and students should be informed about the crisis, contact should be made via one or more of the following methods:

<u>Staff</u>

| If the event occurs | Then staff should be contacted via |
|---------------------|--|
| During normal | an all-user email |
| working hours | |
| Outside of normal | local and regional media; and/or |
| working hours | • the DMU website |
| | Note: Depending upon the nature of the crisis, the |
| | Crisis Management Team may decide against |
| | contacting staff outside of normal working hours. |

Students

| If the event occurs | Then students should be contacted via |
|---------------------|---|
| During normal | • an all-user email; |
| teaching hours | Student Portal |
| | Blackboard; |
| | scrolling message boards within Faculty |
| | buildings; and/or |
| | • display screens within University buildings. |
| | |
| Outside of normal | local and regional media; |
| teaching hours | • the DMU website (home page); |
| | Blackboard; and/or |
| | • SMS (text message). |

Refer to Key actions, below, for guidance on information to be imparted.

Communicating to the wider community

In a major crisis (see <u>Evaluating the event</u>, above), the University is likely to receive a large amount of enquiries from:

- the media;
- concerned parents;
- businesses/partners; and
- the general public.

How we handle such calls will have an impact on the University's reputation. It is important, therefore, that all staff to whom such calls may be directed are:

- briefed on how to handle media enquiries; and
- provided with statements as appropriate to the situation.

Typically, the volume of calls will build quickly as news of the crisis spreads. From then on, volume is dependent both on the nature of the crisis and the speed with which we can bring the situation under control.

It is recommended that the Crisis Management Team operates a two-tiered call handling system, as follows:

| Type of call | To be handled by the |
|--|---|
| Basic calls | Call Centre Team (see below). |
| Calls requesting | • Press Office (office hours only); or |
| information beyond that which the call taker has been provided with (for | Communications Co-ordinator (out of hours) (see <u>Structure and roles</u> , above). |
| example, in-depth media enquiries) | Note: The Communications Co-ordinator will be supported, if necessary, by the Communications Core Team (see below). |

Some enquiries may be routed to regular front-line staff, for example:

- Switchboard; or
- Enquiry Centre.

To ensure consistency of communication, these members of staff must be briefed to transfer any calls relating to the crisis to the Call Centre Team or Press Office/ Communications Co-ordinator, as appropriate.

Guidance for call takers is available on the document storage facilities (see Resources, Crisis documentation, below, for locations). Sufficient copies should be printed and distributed as appropriate.

Call Centre Team

A number of individuals have been nominated to form a Call Centre Team. The role of the Team will be to:

- take calls from the public;
- pass-on messages in relation to the crisis in hand; and
- act as a call filter to minimise the number of calls to which the Communications Core Team (see below) has to respond.

See <u>Contact names and numbers</u>, <u>Call Centre Team</u>, below for a full list of nominated individuals.

The Call Centre Team will convene in.... The Senior Technical Analyst (Jon O'Grady), ITMS (x6060), is aware of the location of the equipment. It is anticipated that the facility can be up and running within one hour.

Instructions for setting up the Call Centre telephones is available on the document storage facilities (see <u>Resources, Crisis Documentation</u> for locations).

Media facilities

In an extreme crisis, we can expect a very large volume of local and national media descending on us with little or no notice. In such circumstances, it would be in the University's interest to be as accommodating as possible. Members of the media may be directed to the most conveniently located venue given the situation, e.g., Vehicles should be parked in.....

Key actions

The following key actions apply to the majority of crises and should be considered as the minimum for effective communication:

| Action | By whom |
|---|----------------|
| Select at least 10 nominees to form the Call Centre Team | Communications |
| (see Call Centre Team, above) | Core Team |
| | |
| Note: The number to be selected will depend upon the | |
| scale of the crisis. | |
| Brief all staff to whom calls may be directed. This will | Communications |
| include: | Co-ordinator |
| • members of the Call Centre Team; | |
| Switchboard staff | |
| Enquiry Centre staff | |
| Press Officers; and | |
| • other identified key staff. | |
| Create a positioning statement for approval by the | Communications |
| Communications Core Team. The statement may be for | Co-ordinator |
| both internal and external audiences and may be used as a | |
| reactive or proactive statement. | |
| Identify and brief a spokesperson(s), even in cases where | Communications |
| comment is to be made on a reactive only basis. | Core Team |
| | |
| Note: Typically, the spokesperson(s) will be part of the | |
| Communications Core Team, but in some cases it may be | |
| necessary to brief a member of staff or third party outside | |
| this Team. | |

| Brief selected media. | Communications |
|--|----------------|
| | Co-ordinator |
| Note: In some cases, it will be advantageous to inform | |
| selected media so that the quality of message and flow of | |
| communication is as controlled and neutral as possible. | |
| Agree the frequency at which statements will be updated | Communications |
| during the crisis period. | Core Team |
| | |
| Note: The frequency of update will depend upon the | |
| nature of the crisis and the degree to which the situation | |
| is subject to change. Updates will continue until such | |
| time as the Team declares the crisis no longer poses a | |
| threat to the University's reputation. | |
| Contact relatives (if relevant - for example, in the case of | Communications |
| an accident or death). | Core Team |
| | |
| Note: Such contact will be subject to consultation with | |
| the Police, as appropriate. | |
| Deliver communication to a wider audience, focussing on | Communications |
| the following core elements: | Core Team |
| | |
| • Succinctly describe the incident; | |
| • Explain what was done as a result of the incident; and | |
| • Highlight any follow-up actions that are outstanding | |
| OR make it clear the incident is closed. | |
| | |
| Note: Typically, the wider audience would be staff and | |
| possibly students and the local community (depending on | |
| the incident). Often, the communication would be | |
| delivered once the crisis has been contained or | |
| completely over. | |
| Once the crisis is over, produce a summary of the crisis | Communications |
| for presentation to the Executive Board, or nominated sub | Co-ordinator |
| group. The summary should include: | |
| • action taken; | |
| • current media coverage (if any); | |
| any outstanding actions; | |
| • an assessment of any likely follow-up media interest; | |
| and | |
| recommendations based on lessons learnt. | |

Resources

Overview

In this section

This section contains the following topics.

| Topic | See Page |
|---|----------|
| 'Grab bags' | 33 |
| Incident (command) rooms – locations and equipment | 34 |
| Crisis documentation | 35 |
| Recompense for staff called-out or required to work | 37 |
| additional hours | |

'Grab bags'

Contents

'Grab bags' will be issued to all members of the gold level (strategic) command. Recipients <u>must</u> ensure that their 'grab bag' contains the following items <u>at all times</u>:

- A list of member's own key contacts (staff/external)
- Own Faculty/Directorate business continuity plan
- A copy of the current Crisis Management Plan
- Critical Business Activities matrix
- Mobile phone charger
- Pens

<u>Note</u>: Additional items may be required for individual post-holders according to their role within the Team.

Incident (command) rooms - locations and equipment

Locations

The following locations have been designated as incident rooms for the purpose of crisis management:

| Site type | Location |
|---|--|
| Spontaneous / short- | Security Offices, Estates Management Building, |
| term site | The Gateway (opposite Gateway House) |
| Longer-term site | |
| NB: When in doubt, contact Security for guidance (). | |

Telephone numbers

For a list of telephone numbers allocated to each incident room, please refer to Contact names and numbers, Incident (command) rooms.

Equipment

The incident rooms will, at all times, contain the following resources/equipment:

| Location | Equipment |
|-----------------|---|
| Spontaneous | Desks for four (4) staff |
| / short-term | • Three (3) telephone lines |
| site | • Fax machine |
| | Whiteboard |
| | |
| | Access to CCTV on Campus |
| | Access to radio communication to Estates staff |
| _ | • |
| Longer-term | • Sufficient desks & chairs for minimum of ten (10) staff |
| site | • Sufficient telephones / lines / IT & radio capabilities as |
| | required for purpose (Gold / Silver) |
| | Laminated copies of current CMT plan |
| | BT telephone directories (local) |
| | Copies of De Montfort University Telephone directory |
| | Copies of current Faculty/Directorate Business Continuity |
| | Plans |
| | • Fax machine(s) |
| | • Stationary (pads, pens, paper, etc) |
| | Flipcharts / whiteboards / smartboards if available |
| | • TV & radio access – for viewing & recording any relevant |
| | broadcasts |
| | Maps of campus or other sites owned / utilised by |
| | University |
| Note: The equ | ipment at each site is maintained and regularly checked by the |
| | ty or nominated deputy. |
| Ticau of Securi | ty of nonlinated deputy. |

Crisis documentation

Storage

Documents that are essential to, or that could be useful in, a crisis situation are housed electronically, both on-site (University server) and off-site.

Server locations

The on-site and off-site document storage facilities can be found at the following locations:

| Server | Location |
|----------|----------|
| On-site | |
| Off-site | |

Access to server

All Gold Team members will have full access to both sites, as will the following post-holders:

- Deans of Faculty; and
- Gold Team secretariat.

Documents available

Both sites will house identical documentation; this being

- The Crisis Management Plan;
- Staff contact data (see Staff and student databases, below);
- Student contact data (see Staff and student databases, below);
- Faculty/Directorate Business Continuity Plans;
- Guidelines on Pandemic (Swine) Flu for Employees and Managers;
- Guidance for call takers:
- Decision Log templates (see Appendix 4); and
- Output from previous crises.

Staff and student databases

Databases containing the contact details and other useful information pertaining to all staff and students belonging to the University are maintained by the Director of POD and the Director of SAAS respectively.

Selected information from each database has been converted to Excel files and uploaded onto the document storage facilities (see Server locations, above).

The Excel files are named:

On a monthly basis, these Excel files are automatically updated.

Access to the Databases

Due to the confidential information contained within these databases, access has been restricted to the Director of POD plus the following personnel, who have been designated Gold Team secretariat:

- Sue Francis, Projects and Policy Officer, Executive & Governance Services;
- Lynda Kent, PA to Chief Information Officer/Deputy Chief Operating Officer; and
- Eileen Waldron, PA to Director of Student & Academic Services.

In addition, access may be provided to nominated members of the POD Directorate upon request.

Updates

The content of both sites will be maintained by the Projects and Policy Officer, Executive and Governance Services. Requests for amendment should be emailed to sfrancis@dmu.ac.uk

Recompense for staff called-out or required to work additional hours

Procedure

Arrangements currently exist to recompense staff who, in response to a crisis are:

- called-out; and/or
- required to work additional hours.

Information regarding these arrangements can be found on the shared storage facilities (see <u>Crisis documentation</u>, above, for location details).

These existing arrangements should be adapted to accommodate the particular circumstances of any crisis.

Contact names and numbers

Overview

In this section

This section contains the following topics.

| Topic | See Page |
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| Gold level (strategic) command | 40 |
| Silver level (tactical) command | 42 |
| Call Centre Team | 47 |
| University specialists | 51 |
| Local Resilience Forum agencies and other external | 52 |
| service providers | |
| Incident (command) rooms | 53 |

Introduction

Contacts provided

The following pages list the contact details for:

- members of the Crisis Management Team;
- specialists, both within and external to the University; and
- those resources/facilities allocated for use in a crisis.

Updating your details

Contact details are maintained by the Projects and Policy Officer, Executive and Governance Services. Updates should be emailed to sfrancis@dmu.ac.uk

Gold level (strategic) command

Contacts

The following members of staff currently make up the gold level (strategic) command element of the Crisis Management Team:

| Position | Name | CMT role | Initial response cadre? | Telephone Nos |
|-------------------------------------|-----------------------------|--|-----------------------------|------------------|
| Vice-Chancellor | Prof Dominic Shellard | Initial SPOC for potential "crisis" evaluation | caure. | Business: Home: |
| | | | | Mobile: |
| Chief Operating Officer | Ben Browne (Acting) | Gold Commander and Deputy SPOC for | √ (if Duty | Business: |
| | | potential "crisis" evaluation | Officer) | Home: |
| | | | | Mobile: |
| Pro Vice- Chancellor | Prof Andy Collop | Deputy Academic Operations Co- | √ (if Duty | Business: |
| (Research & Innovation) | | ordinator | Officer) | Home: |
| D. W. | D. C.A. I | | | Mobile: |
| Pro Vice- Chancellor | Prof Andy Downton | Academic Operations Co-ordinator | (if Duty | Business: Home: |
| (Teaching & Learning) | | | Officer) | Mobile: |
| Head of Student | Jo Cooke | Gold Team Manager | | Business: |
| and Academic Services | JO COOKC | Gold Team Wanager | V | Home: |
| Services | | | | Mobile: |
| Head of Academic | Emma | Deputy Gold Team | √ | Business: |
| Quality | Sheffield | Manager | (in absence | Home: |
| | | | of primary role- | Mobile: |
| Director of Finance | Jonathan | Operations Co- | holder) √ | Business: |
| | Shuter | ordinator (Central Services) | | Home: |
| | | | | Mobile: |
| Director of Estates & Commercial | David Carrott | Deputy Operations Co- ordinator (Central | √ (in | Business: |
| Services | Carrott | Services) | absence | Home: |
| | | | primary role- holder) | Mobile: |

| Director of POD | Sarah | People Co-ordinator | | Business: |
|---|----------------------|---------------------------------|--|-----------------|
| | Setchell | | | Home |
| | (Acting) | | | Home: |
| | | | | Mobile: |
| Deputy Director of POD | Sarah Allen (Acting) | Deputy People Co- ordinator | | Business: |
| 100 | (Heimg) | ordinator | | Home: |
| | | | | Mobile: |
| Director of Marketing and | Keith Perch | Communications Co- ordinator | $\sqrt{}$ | Business: |
| Communications | | Gramator | | Home: |
| | | | | Mobile: |
| Corporate Communications | Jo Griffin | Deputy Communications Co- | √ (in | Business: |
| Manager | | ordinator co- | absence of primary | Home: |
| | | | role- holder, or if Duty Officer) | Mobile: |
| Head of | Mike | Gold Command | Gineery | Business: |
| Occupational Health, Safety & | Haynes- Coote | Advisor | | Home: |
| Wellbeing | | | | Mobile: |
| Executive and Governance | Sue Francis | CMT Secretariat | | Business: |
| Services – Projects & Policy Officer | | | | Home: |
| & Policy Officer | | | | Mobile: |
| PA to Chief Information | Lynda Kent | Deputy CMT Secretariat | | Business: |
| Officer/Deputy | | Secretariat | | Home: |
| | | | | Mobile: |
| Student & Academic Services | Eileen Waldron | Deputy CMT | | Business: |
| - PA | ** aidiOii | Societariat | | Home: |
| | | | | Mobile: |
| Student & Academic Services | Eileen Waldron | Deputy CMT Secretariat | | Business: Home: |

Silver level (tactical) command

Nominees

The table below lists those members of staff currently nominated to form the silver level (tactical) command element of the Crisis Management Team.

The actual roles to be drafted-in will vary according to the nature of the crisis:

| Faculty/ Directorate | Name | Emp No. | Position/Dept | Telephone Nos |
|-------------------------|--------------------|------------|--|------------------|
| HLS | Prof Mandy Ashton | | PVC/Dean | Business: |
| | | | | Home: |
| | | | | Mobile: |
| TECH | Prof Andrew Collop | | PVC/Dean | Business: |
| | | | | Home: |
| | | | | Mobile: |
| BAL | Prof David Wilson | | Deputy Vice- Chancellor / Dean | Business: |
| | | | Chancellor / Dean | Home: |
| | | | | Mobile: |
| AD&H | Dr Gerard Moran | | PVC/Dean | Business: |
| | | | | Home: |
| | | | | Mobile: |
| BAL | Tracey Lee-Adams | | Faculty Manager | Business: |
| | | | | Home: |
| | | | | Mobile: |
| BAL | Sheree Peaple | | Head of Law School | Business: |
| | | | | Home: |
| | | | | Mobile: |
| BAL | Andy Rees | | Head of Undergraduate | Business: |
| | | | Studies / Deputy Dean / Head of Dept of Strategic Management | Home: |
| | | | & Marketing | Mobile: |
| BAL | Martyn Kendrick | | Head of Postgraduate | Business: |
| | | | Studies / Director of International Strategy | Home: |
| | | | | Mobile: |

| BAL | David Russell | Head of Department – | Business: |
|------|----------------------|--|-----------|
| | Accounting & Finance | | Home: |
| | | | Mobile: |
| BAL | Kerrie Deakin | Associate Head of | Business: |
| | | Postgraduate and Professional Law Programmes | Home: |
| | | Trogrammes | Mobile: |
| BAL | Tim Hillier | Associate Head of Undergraduate Law | Business: |
| | | Programmes | Home: |
| | | | Mobile: |
| BAL | Amanda Thompson | Head of Department - Human Resource | Business: |
| | | Management | Home: |
| | | | Mobile: |
| HLS | Kevin Power | | Business: |
| | | | Home: |
| | | | Mobile: |
| HLS | Sandra Hall | Head of Pharmacy | Business: |
| | | Practice | Home: |
| | | | Mobile: |
| AD&H | Jim Popple | Faculty Manager | Business: |
| | | | Home: |
| | | | Mobile: |
| AD&H | Rob Brannen | Head of Department | Business: |
| | | | Home: |
| | | | Mobile: |
| TECH | Georgina Hill | Faculty Manager | Business: |
| | | | Home: |
| | | | Mobile: |
| TECH | Warren Manning | Deputy Dean / Head of School of Computer | Business: |
| | Science a | | Home: |
| | | Informatics | Mobile: |

| | | Carreita Offica | Business: |
|------------------------|------------------|---|-----------|
| | | Security Office | Home: |
| | | | Mobile: |
| Finance | John Gamble | Exchequer Accountant | Business: |
| | | | Home: |
| | | | Mobile: |
| Finance | Sandra Samples | Deputy Director of Finance | Business: |
| | | Finance | Home: |
| | | | Mobile: |
| POD | Nick Allsopp | Head of Academic Professional | Business: |
| | | Development | Home: |
| | | | Mobile: |
| Library | Kathryn Arnold | Chief Information Officer/Deputy COO | Business: |
| | | Officer/Deputy COO | Home: |
| | | | Mobile: |
| Library | Alan Brine | Head of Technical Services | Business: |
| | | Scrvices | Home: |
| | | | Mobile: |
| Library | Richard Patridge | Head of Public Services | Business: |
| | | Scrvices | Home: |
| | | | Mobile: |
| Library | Brian Graham | IT Services Team | Business: |
| | | Manager | Home: |
| | | | Mobile: |
| Library | Owen Williams | Senior Systems Engineer | Business: |
| | | Engineer | Home: |
| | | | Mobile: |
| Marketing & Communicat | Robert Kitt | Head of Market Insight and CRM | Business: |
| ions | | and Civivi | Home: |
| | | | Mobile: |

| Marketing & Communicat | Helen Whitehead | International Recruitment Manager | Business: |
|------------------------|------------------|---------------------------------------|---------------|
| ions | | | Home: Mobile: |
| Estates & | Martin P Wrigley | Head of Housing and | Business: |
| Commercial Services | | Centre Services | Home: |
| | | | Mobile: |
| Estates & Commercial | Kevin Burrows | Head of Security Services | Business: |
| Services | | | Home: |
| | | | Mobile: |
| SPS | Aaron Morrison | Director (Acting) | Business: |
| | | (Heing) | Home: |
| | | | Mobile: |
| Executive and | Rebecca Jenkyn | Head of Governance & Legal Affairs | Business: |
| Governance Services | | | Home: |
| Services | | | Mobile: |
| ITMS | Pete Norman | Interim Head of IT Operations | Business: |
| | | (Emergency Contact) | Home: |
| | | | Mobile: |
| Student & Academic | Jon Lees | Deputy Director | Business: |
| Services | | | Home: |
| | | | Mobile: |
| Student & Academic | Lucy Madahar | Head of Careers & Employability | Business: |
| Services | | Employability | Home: |
| | | | Mobile: |

Call Centre Team

Contact list

Listed in the following table are the names and contact numbers of staff who have been nominated to act as call taker.

The last column of the table indicates whether the staff member can be calledupon act in the capacity of Team Leader.

An appropriate number of staff should be selected from this list for call-out; the exact number will be determined by the nature/severity of the crisis.

| Faculty/ Directorate | Name | Emp. No | Position/Dept | Telephone Nos | Team Leader? |
|-------------------------|--------------------------|------------|-------------------------|------------------|-----------------|
| BAL | Laura Herbane | | UG Programme Manager | Business: Home: | |
| | | | | Mobile: | |
| BAL | Rita Gough | | Senior Admin Co- | Business: | |
| | | | ordinator | Home: | |
| | | | | Mobile: | |
| BAL | Sue Owen | | PG Programmes | Business: | |
| | | | Manager | Home: | |
| | | | | Mobile: | |
| BAL | Vicki Clarke | | PG Programmes | Business: | |
| | | | Manager | Home: | |
| | | | | Mobile: | |
| BAL | Margaret | | PA to Dean | Business: | |
| | Spence | | | Home: | |
| | | | | Mobile: | |
| HLS | Jackie | | Principal Lecturer | Business: | |
| | Martin | | Social Work | Home: | J |
| | | | | Mobile: | |
| HLS | Lazar Principal Lecturer | Business: | | | |
| | Karagic | | Nursing & Midwifery | Home: | |
| | | | | Mobile: | |

| HLS | Tina Harris | Principal Lecturer | Business: |
|---------|-----------------|-------------------------------------|-----------|
| | | Nursing & Midwifery | Home: |
| | | | Mobile: |
| HLS | Nicky | Principal Lecturer | Business: |
| ILS | Genders | Nursing & Midwifery | Home: |
| | | wildwilery | Mobile: |
| HLS | Simon | Head of School | Business: |
| | Oldroyd | Allied Health Sciences | Home: |
| | | | Mobile: |
| HLS | Graham | Associate Head | Business: |
| | Basten | Allied Health Sciences | Home: |
| | | Sciences | Mobile: |
| HLS | Martin | Associate Head; | Business: |
| | Elliott | School of Allied Health Sciences | Home: |
| | | Pharmacy | Mobile: |
| HLS | Mark Fowler | Principal Lecturer | Business: |
| | | in Forensic Science Pharmacy | Home: |
| | | | Mobile: |
| HLS | Sandra Hall | Head of Pharmacy Practice | Business: |
| | | | Home: |
| | | | Mobile: |
| HLS | Karen | Principal Lecturer | Business: |
| | Pitchford | Pharmacy | Home: |
| | | | Mobile: |
| AD&H | Andrea | Health, Safety & | Business: |
| | Jones | Operations Co- ordinator | Home: |
| | | | Mobile: |
| Library | Helen Kitson | Senior Information Assistant | Business: |
| | | | Home: |
| | | | Mobile: |
| | | | |

| | G 1 7711 | | Business: |
|----------------------|-------------|---------------------------------|-----------|
| Library | Sarah Ellis | Senior Information Assistant | Home: |
| | | | |
| | | | Mobile: |
| Library | Anselm | Senior Information | Business: |
| | Kersten | Assistant | Home: |
| | | | Mobile: |
| Marketing & | Jamie | Outreach Manager | Business: |
| Communicat | Bradford | | Home: |
| | | | Mobile: |
| Marketing & | Mark Janes | Postgraduate | Business: |
| Communicat ions | | Marketing & Recruitment | Home: |
| | | Manager | Mobile: |
| SPS | Chris | Planning Officer | Business: |
| | Dackombe | | Home: |
| | | | Mobile: |
| SPS | Vipul Patel | Planning Officer | Business: |
| | | | Home: |
| | | | Mobile: |
| Student and | Jit Pandya | Student Community | Business: |
| Academic Services | | Development Officer | Home: |
| | | | Mobile: |
| Student and | Ami | Mental Health | Business: |
| Academic Services | Benning | Advisor | Home: |
| Services | | | Mobile: |
| Student and | Andy Gale | International | Business: |
| Academic Services | Thay out | Student Support Officer | Home: |
| | | | Mobile: |
| Student & | Emma | Support | Business: |
| | Adams | Administrator | Home: |
| | | | Mobile: |
| | 1 | 1 | 1 |

| Student & Academic Services | Assunta Popple | Centre for English Language Learning | Business: Home: Mobile: | J |
|-----------------------------------|-------------------|---|-------------------------|---|
| DAQ | Sally Lloyd | Curriculum Planning Officer | Business: Home: Mobile: | |
| DAQ | Wendy Howe | Secretary, Admin Assistant | Business: Home: Mobile: | |
| RBI | Charlotte Gore | PA to PVC R&I and Director of RBI | Business: Home: Mobile: | |

Call Centre location

The Call Centre will be located in....

Telephone number

The following telephone extensions have been allocated to the Call Centre. They will operate as a hunt group facility, i.e., the caller will be patched through to the next available extension:

• through to

University specialists

Contact list

The following table lists contact names and numbers for those members of DMU staff with specialist roles that may need to be called-upon in a crisis:

| Specialism | Name(s) | Contact number(s) |
|------------------------------|------------------|-------------------|
| Chemical safety advisor | | N/A |
| GM / Biological Safety | Dr John Hall | |
| Advisor | | |
| Radiation Protection Advisor | Dr Sonia Nuttall | |
| Fire Safety Advisor | Tim Moss | |
| Medical Advisor | Dr Ian Cross | |
| Occupational Health Advisor | Anthea Turnbull | |
| Occupational Health Nurse | | |
| Health and safety advisors | Mike Haynes- | |
| _ | Coote | |
| | Roger Yates | |
| IT advisor | Pete Norman | |
| Communications advisor | Keith Perch | |

Local Resilience Forum agencies and other external service providers

Contact list

The following table lists contact numbers for the Local Resilience Forum agencies and other external service providers that may need to be contacted in a crisis:

| Department/Agency | Contact | Fax | Contact |
|---------------------------------------|----------|--------|-------------------|
| | number | number | |
| Leicestershire | | | Force Ops Room |
| Constabulary Control | | | Inspector or Team |
| Room | | | Leader |
| Leicestershire Fire & | | | Control Room |
| Rescue Service Control | | | Supervisor |
| East Midlands | | | Control Room |
| Ambulance Services | | | supervisor |
| Control | | | • |
| Leicester City Council | | | |
| Emergency Management | | | |
| section | | | |
| Leicester, Leicestershire | | | Superintendent, |
| & Rutland LRF | | | Operations |
| Leicester City Primary | | | Operations |
| Care Trusts | | | |
| | | | |
| University Hospitals of Le | icester. | | C |
| • LRI | | | Switchboard |
| | | | A & E |
| | | | |
| | | | |
| Glenfield | | | |
| | | | |
| Leicester General | | | |
| TI 11 D | | | |
| Health Protection | | | |
| Agency (East Mids) | | | |
| Environment Agency | | | |
| | | | |
| Health & safety | | | |
| executive | | | |
| Utilities: | | | |
| Electricity | | | |
| | | | |
| • Gas | | | |
| | | | |
| Water (STWA) | | | |
| (4- // / | | | |
| Telephones (BT) | | | |
| ` ' | • | | • |

Incident (command) rooms

| Spontaneous / short-term site | The following telephone numbers are installed in the spontaneous / short-term incident room: | | | | |
|----------------------------------|--|-----------------|--|--|--|
| | Internal extension | External number | | | |
| | | | | | |
| Longer-term site | The following telephone extensions have been allocated to the longer-term incident room. They will operate as a hunt group facility, i.e., the caller will be patched through to the next available extension: | | | | |
| | Internal | extension | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

Glossary

Overview

Table

The following table gives an explanation of terms and references used within the Crisis Management Plan:

| Term | Meaning | |
|--------------------------|---|--|
| Bronze | Operational Command staff (business unit staff and specialists) | |
| CBRN | Chemical, Biological, Radiological or Nuclear | |
| Civil | Current legislation governing emergency response | |
| Contingencies Act | and recovery | |
| CMP | Crisis Management Plan | |
| CMT | Crisis Management Team | |
| EMAS | East Midlands Ambulance Service | |
| Gold | Strategic Command staff (Executive Officers of DMU) | |
| HPA | Health Protection Agency | |
| Incremental | Process by which normal business is reduced and | |
| Closure | resources realigned to the crisis | |
| LFRS | Leicestershire Fire & Rescue Service | |
| Localised incident | One requiring no CMT | |
| LRF | Local Resilience Forum (Local Emergency | |
| | Responders – integrated body) | |
| Major Crisis | Requiring full CMT escalation | |
| Minor Crisis | Possibly requiring CMT on smaller scale | |
| Silver | Tactical Command staff (senior managers and | |
| | specialist advisors) | |
| UHL | University Hospitals of Leicester | |

Appendices

Overview

In this section

The Crisis Management Plan contains the following appendices:

| No. | Appendix | |
|-----|--|--|
| 1 | Crisis Management Model (Flowchart) | |
| 2 | Table - Location of faculties, services and other assets | |
| 3 | Map of University campus | |
| 4 | Decision Log template | |

Revisions index

Overview

Introduction

This document will be reviewed at least annually and updated as appropriate.

Table of amendments

Since the original version was approved, the following amendments have been made to the manual.

| Section | Details of revision | Revision date |
|--------------------------|----------------------------|---------------|
| Responding to the Crisis | Updated to reflect changes | 21/07/10 |
| Contact Names and | within Senior Management | |
| Numbers | Team | |
| | | |
| | | |
| | | |
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