**Preliminary Ethics Review for Research Students (PhD)**

To be completed as part of the Graduate School registration process for research degree students.

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| **Applicant Name:** |  | **Supervisor Name:** | |  | | | | |
| **Email Address:** |  | **Start**  **Date** |  | | **End**  **Date** |  | | |
| **Project Title:** |  | | | | | | | |
| **Ethical Considerations** | | | | | | | **YES** | **NO** |
| Will your research involve interviewing or surveying individuals, groups or organisations? | | | | | | |  |  |
| Will your research involve the administration of a questionnaire? | | | | | | |  |  |
| Will your research involve the observation of human behaviour? | | | | | | |  |  |
| Will your research involve the gathering of information about human beings (and organisations) through interfering in normal physiological and/or psychological processes? | | | | | | |  |  |
| Will your research involve taking, swabbing or testing human tissue, fluids or excreta? | | | | | | |  |  |
| Will your research involve the use of individual patient data, including audits of hospital or GP patient data (whether anonymised or identifiable)? | | | | | | |  |  |
| Will your research involve researching into illegal activities? | | | | | | |  |  |
| Will your research involve working with live animals or with tissues obtained from live animals for the specific purpose of the research project? | | | | | | |  |  |
| Will your research involve re-use of primary data originally related to any of the research activities mentioned above? | | | | | | |  |  |

If you answered **YES** to one or more questions, you must submit an application for ethical approval to

The Faculty Research Ethics Committee, please refer to <http://www.dmu.ac.uk/research/ethics-and-governance/faculty-specific-procedures/health-and-life-sciences-ethics-procedures.aspx>

If you answered **NO** to **ALL** questions, ethical approval is not required. In this case, please complete this form electronically, sign the below declaration and send to [hlsfro@dmu.ac.uk](mailto:hlsfro@dmu.ac.uk) **with** a copy of your research proposal or registration form. The FREC Electronic Submission Requirements should be followed and are available on the FREC website (link above).

**Supporting Documentation (1 required): Research Proposal: □ Registration form: □**

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| I declare that I have answered ‘NO’ to all above questions and the research that I will undertake for my research degree does not require ethical approval.  I understand that should my project brief change in such a way that I would answer ‘YES’ to any of the above questions, then I must seek ethical approval before undertaking any such work. | | | |
| **Signature of Applicant:** |  | **Date:** |  |

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| --- | --- | --- | --- |
| I declare that the research student named above will be working under my supervision and that the work that he or she will undertake does not require ethical approval. I understand that I hold legal accountability for the ethical acceptability of the work carried out by the student. | | | |
| **Signature of Supervisor:** |  | **Date:** |  |